



COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/30 (10/2001)
Approved for use through 10/31/2002 OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

RCE 1700
#21/13
+ 7/9/02

**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/292,834
Filing Date	April 16, 1999
First Named Inventor	Moriguchi
Art Unit	1754
Examiner Name	Hendrickson, Stuart L.
Attorney Docket Number	112857-034

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8,

1. **Submission required under 37 CFR §1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- iii. ☐ Information Disclosure Statement (IDS)
- ii. ☐ Affidavit(s)/Declaration(s)
- iv. ☐ Other _____

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR §1.103(c) for a period of _____ months (Period of suspension shall not exceed 3 months; Fee under 37 CFR §1.17(i) required)
- b. ☐ Other _____

3. **Fees**

The RCE fee under 37 CFR §1.17(e) is required by 37 CFR §1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-1818
- i. ☒ RCE fee required under 37 CFR §1.17(e) 06/27/2002 AWONDAF1 00000092 021818 09292834
- ii. ☐ Extension of time fee (37 CFR §§1.136 and 1.17) 01 FC:179 30.00 CH 710.00 OP
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 710.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Thomas C. Basso	Registration No. (Attorney / Agent)	46,541
Signature		Date	June 19, 2002

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark

Name (Print / Type)	Robert Buccieri	Date	June 19, 2002
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
112857-034

In Re Application Of: OHSAWA, E.

JUN 26 2002

Serial No.
09/292,834

Filing Date
April 16, 1999

Examiner
Hendrickson, Stuart

Group Art Unit
1754

Title:

GRAPHITE POWDERS SUITED FOR NEGATIVE ELECTRODE MATERIAL OF LITHIUM ION SECONDARY BATTERY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

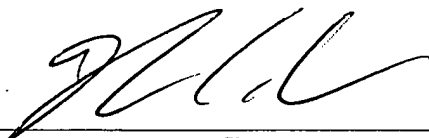
Preliminary Amendment (6 pages); Request for Continued Examination Transmittal (1 page in duplicate); Check in the amount of \$10.00; Return Receipt Postcard

COPY OF PAPERS
ORIGINALLY FILED

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$740.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

RECEIVED
JUL 02 2002
TC 1700



Signature

Dated: June 19, 2002

Thomas C. Basso
Reg. No. 45,541
P.O. Box 1135
Chicago, Illinois 60690-1135
Phone: (312) 807-4310

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert Buccieri

Typed or Printed Name of Person Mailing Correspondence

CC: